PATENT: 06147 USA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLI-

CATION OF

Caroline Sassano Slone, et al.

SERIAL NO.

09/909,555

: GRP. ART UNIT:

1712

**FILED** 

20 July 2001

: EXAMINER: D. S. Metzmai r

**FOR** 

Alkyl Glycidyl Ether-Capped Polyamine Foam Control Agents

Assistant Commissioner for Patents Washington, D.C. 20231

:

CERTIFICATE OF MAILING
I CERTIFY THAT THIS PAPER (ALONG WITH ANY PAPER REFERRED TO AS BEING ATTACHED OR ENCLOSED) IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:

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Sir:

## **RULE 132 DECLARATION**

- I, Kevin R. Lassila, residing at 7320 Periwinkle Drive, Macungie, PA 18062 hereby declare as follows:
- I am employed by Air Products and Chemicals, Inc., the assignee of the invention described in the above-identified Application. I have been employed by Air Products and Chemicals, Inc. for 15 years working the past 6 years in the Specialty Additives Group of the Performance Chemicals Division.
- 2. I am a co-inventor of the subject matter claimed in the above-identified application.
- 3. I have read and understand the Kao patent application (JP 2001-107083), which was relied upon by the Examiner in rejecting the claims pending in the above-identified

Application. Kao's synthesis example 2 shows the preparation of the monohexadecyl glycidyl ether derivative of ethylenediamine.

4. Under my supervision and direction, foam control data were generated for the monobutyl (C4) and monoethylhexyl (C8) glycidyl ether derivatives of ethylenediamine (EDA) and diethylenetriamine (DETA) according to the method of Examples 27-53 of the above-identified application. Table 1 shows the obtained data.

Table 1

Defoamer	Initial Foam Height (cm)	Foam height after 1 minute (cm)	Foam height after 10 minutes (cm)	Time to 0 foam
DETA/1 BGE	1.0	0.2	0	5.9 min
EDA/1 BGE	1.5	1.0	0.3	>10 min
DETA/1 EHGE	0.4	0	0	29 sec
EDA/1 EHGE	1.5	0.2	0.0	3.4 min

5. The data shows that both the monobutyl and the monoethylhexyl glycidyl either derivatives of DETA were unexpectedly superior foam control agents compared to the same derivatives of EDA in a 0.1 wt% aqueous DOSS solution.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that the statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Kevin R. Lassila

////3/o≥ Date



113PUS06147

Caroline S. Slone

PTO/SB/01 (03-01)
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**DECLARATION FOR UTILITY OR** 

DESIGN

Att mey Docket Number

First Named Inventor

PATENT APPLI	COI	COMPLETE IF KNOWN							
(37 CFR 1.63)		Application Num	ber						
X Declaration Submitted OR with Initial	Declaration Submitted after Initial Filing (surcharge	Filing Date							
		Group Art Unit							
Filing	(37 ČFR 1.16 (e)) required)	Examiner Name							
As a below named inventor, I hereby declare that:									
My residence, mailing address, and citizenship are as stated below next to my name.									
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:									
ALKYL GLYCIDYL ETHER-CAPPED POLYAMINE FOAM CONTROL AGENTS									
				•					
			-						
(Title of the Invention)									
the specification of which									
X is attached hereto									
OR									
was filed on (MM/DD/YYYY) as United States Application Number or PCT International									
Application Number	and was am	ended on (MM/DD/YY)	M	(	if applicable).				
I hereby state that I have reviewed amended by any amendment spec	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.								
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.									
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other									
or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.									
Prior Foreign Application Number(s)		Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy YES	Attached?				
14411-00-(4)		According to the State of the S							
,					Ħ				
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:									
[Page 1 of 2]									

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## **DECLARATION** — Utility or Design Patent Application

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Name 23543 Air Products and Chemicals, Inc.							
Address							
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:     A petition has been filed for this unsigned inventor							
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Inventor's Carolin Survair Stone 7/20/01 Signature Date							
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NAME OF SECOND INVENTOR:    A petition has been filed for this unsigned inventor							
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Inventor's Ladney hessels Signature Date 7/20/01							
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Additional inventors are being named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							